

Mukul Amratlal Sonawala



MEMBER : NATIONAL STOCK EXCHANGE OF INDIA LTD. (CAPITAL MARKET)

165-B, SONAWALA ESTATE,
N. M. REFINERY COMPOUND BUILDING,
TARDEO, MUMBAI - 400 007. (INDIA)
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Investor Complaint data of MUKUL AMRATLAL SONAWALA (SEBI Reg. No. INZ 000279713)
NSE Member code 06779 CM Clg No. M50330 (as prescribed in Annexure B of SEBI
Circular SEBI/HO/MIRSD/DOP/P/CIR/2021/676 Dated: December 02, 2021)

Investor Complaint data for the month ended Mar, 2024 (NSE)

Sr. No	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of The month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI(SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other-Sources (if any)	0	0	0	0	0	0	0
	GrandTotal	0	0	0	0	0	0	0

^Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April, 2023	0	0	0	0
2	May, 2023	0	0	0	0
3	June, 2023	0	0	0	0
4	July, 2023	0	0	0	0
5	August, 2023	0	0	0	0
6	September, 2023	0	0	0	0
7	October, 2023	0	0	0	0
8	November, 2023	0	0	0	0
9	December, 2023	0	0	0	0
10	January, 2024	0	0	0	0
11	February, 2024	0	0	0	0
12	March, 2024	0	0	0	0
	GrandTotal	0	0	0	0

* Inclusive of complaints of previous months resolved in the current month#
Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

Sr. No	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23	0	0	0	0
7	2023-24	0	0	0	0
	GrandTotal	0	0	0	0

* Inclusive of complaints of previous years resolved in the current year.#
Inclusive of complaints pending as on the last day of the year.

For Mukul Amratlal Sonawala

Jayant
Jayant Amratlal Pandya
Compliance Officer

Mukul Amratlal Sonawala



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Investor Complaint data for the month ended Oct, 2024 (NSE)

Sr. No	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of The month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI(SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other-Sources (if any)	0	0	0	0	0	0	0
	GrandTotal	0	0	0	0	0	0	0

^Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April, 2024	0	0	0	0
2	May, 2024	0	0	0	0
3	June, 2024	0	0	0	0
4	July, 2024	0	0	0	0
5	August, 2024	0	0	0	0
6	September, 2024	0	0	0	0
7	October, 2024	0	0	0	0
8	November, 2024				
9	December, 2024				
10	January, 2025				
11	February, 2025				
12	March, 2025				
	GrandTotal	0	0	0	0

* Inclusive of complaints of previous months resolved in the current month#

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

Sr. No	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2023-24	0	0	0	0
2	2024-25				
3	2025-26				
4	2026-27				
5	2027-28				
6	2028-29				
	GrandTotal	0	0	0	0

* Inclusive of complaints of previous years resolved in the current year.#

Inclusive of complaints pending as on the last day of the year.

For Mukul Amratlal Sonawala

Jayant
Jayant Amrutlal Pandya
Compliance Officer